

PTO/SB/08b (08-03)

Approved for use through 6/30/2008. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete If Known

Application Number	10/783,113
Filing Date	February 20, 2004
First Named Inventor	Alon Shalev
Art Unit	3762
Examiner Name	Scott M. Getzow
Attorney Docket No.	SC&C-101US

SHEET 1 of 1

NON-PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (In CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
SG		U.S. Provisional Application No. 60/531,224 filed December 19, 2003 for SKULL-MOUNTED ELECTRICAL STIMULATION SYSTEM AND METHOD FOR TREATING PATIENTS by Todd K. Whitehurst and Rafael Carbutaru	<input type="checkbox"/>
		U.S. Patent Application No. 10/428,743 filed May 24, 2002 for TREATMENT OF EPILEPSY BY BRAIN STIMULATION by Todd K. Whitehurst	<input type="checkbox"/>
		U.S. Provisional Application No. 60/265,008 filed January 30, 2001 for FULLY IMPLANTABLE MINIATURE NEUROSTIMULATOR FOR STIMULATION AS A THERAPY FOR EPILEPSY by Todd K. Whitehurst and James P. McGivern	<input type="checkbox"/>
		U.S. Provisional Application No. 60/383317 filed May 24, 2002 for ELECTRICAL STIMULATION AND DRUG INFUSION SYSTEMS AND METHODS FOR TREATMENT OF EPILEPSY by Todd K. Whitehurst	<input type="checkbox"/>
		U.S. Provisional Application No. 60/505,831 filed September 25, 2003 for SKULL-MOUNTED ELECTRICAL STIMULATION SYSTEM by Todd K. Whitehurst and Rafael Carbutaru	<input type="checkbox"/>
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			<input type="checkbox"/>
			<input type="checkbox"/>
Examiner Signature	S. G.	Date Considered	3/22/06

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¹Applicant's unique citation designation number (optional).

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Application Number 10/783,113

Filing Date February 20, 2004

First Named Inventor Alon Shalev

Art Unit 3762

Examiner Name Scott Getzow

Attorney Docket No. SC&C-101US

SHEET 1 of 8

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (If known)			
SG		US-2004/0220644 A1	11/04/2004	Shalev et al.	
		US-2004/0210269 A1	10/21/2004	Shalev et al.	
		US-2005/0074506 A1	04/07/2005	Natan et al.	
		US-2005/0159790 A1	07/21/2005	Shalev	
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		US-2005/0137646 A1	06/23/2005	Wallace et al.	
		US-2005/0137647 A1	06/23/2005	Wallace et al.	
		US-2005/0118187 A1	06/02/2005	Yu	
		US-2005/0112090 A9	05/26/2005	Ni et al.	
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Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ - Number ⁴ - Kind Code ⁵ (If known)				
SG		WO 03/076008 A1 ✓	09/18/2003	Shalev		<input type="checkbox"/>
		WO 03/090599 A2 ✓	11/06/2003	Shalev		<input type="checkbox"/>
		WO 03/105658 A2 ✓	12/24/2003	Shalev		<input type="checkbox"/>
		WO 2005/002467 A2	01/13/2005	Shalev		<input type="checkbox"/>
		WO 2005/030025 A2	04/07/2005	Shalev et al.		<input type="checkbox"/>
		WO 2005/030118 A2	04/07/2005	Natan et al.		<input type="checkbox"/>
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Attorney Docket No.	SC&C-101US

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		Number - Kind Code ² (if known)			
SG		US-2003/0207833 A1	11/06/2003	Berkley et al.	
		US-2003/0203481 A1	10/30/2003	Surber et al.	
		US-2003/0203411 A1	10/30/2003	Sabbadini et al.	
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		Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)				
SG		CA 2 408 097 (English Abstract Only)	11/15/2001	Shalev et al.		<input type="checkbox"/>
		WO 01/85094 A3	11/15/2001	Shalev et al.		<input type="checkbox"/>
		WO 2004/113391 A2	12/29/2004	Kong et al.		<input type="checkbox"/>
		WO 03/080795 A2	10/02/2003	Tang et al.		<input type="checkbox"/>
		WO 03/072014 A2	09/04/2003	Sabbadini et al.		<input type="checkbox"/>
		WO 03/033672 A2 /	04/24/2003	Hayashi		<input type="checkbox"/>

Examiner Signature

S. G.

Date Considered

3/24/06

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Application Number	10/783,113
Filing Date	February 20, 2004
First Named Inventor	Alon Shalev
Art Unit	3782
Examiner Name	Scott Getzow
Attorney Docket No.	SC&C-101US

SHEET 3 of 8

U.S. PATENT DOCUMENTS

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		Number - Kind Code ² (If known)			
SG		US-2005/0020519 A1	01/27/2005	Albiston et al.	
		US-2003/0177514 A1	09/18/2003	Leviten	
		US-2003/0172390 A1	09/11/2003	Wisotzkey et al.	
		US-2003/0131367 A1	07/10/2003	Guenther et al.	
		US-2003/0106083 A1	06/05/2003	Allen	
		US-2003/0056238 A1	03/20/2003	Wisotzkey	
		US-2003/0051268 A1	03/13/2003	Allen	
		US-2003/0018989 A1	01/23/2003	Brennan et al.	
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		US-2003/0014772 A1	01/16/2003	Allen	
		US-2003/0013136 A1	01/16/2003	Balser et al.	
		US-2003/0005477 A1	01/02/2003	Leviten	
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		US-2002/0026652 A1	02/28/2002	Allen et al.	
		US-6,905,827 B2	06/14/2005	Wohlgemuth et al.	
		US-5,639,853	06/17/1997	Paradiso et al.	
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		Country Code ³ - Number ⁴ - Kind Code ⁵ (If known)				
SG		WO 02/081510 A2	10/17/2002	Anderson et al.		<input type="checkbox"/>
		WO 02/066643 A2	08/29/2002	Malyankar et al.		<input type="checkbox"/>
		WO 02/057450 A2	07/25/2002	Edinger et al.		<input type="checkbox"/>
		WO 02/46409 A2 ✓	06/13/2002	Guo et al.		<input type="checkbox"/>
		WO 02/46229 A2	06/13/2002	Casman et al.		<input type="checkbox"/>
		WO 02/16439 A2	02/28/2002	Tang et al.		<input type="checkbox"/>

Examiner Signature

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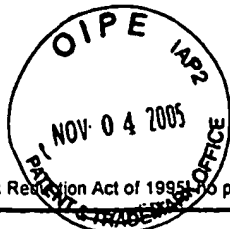
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Art Unit 3762

Examiner Name Scott Getzow

Attorney Docket No. SC&C-101US

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U.S. PATENT DOCUMENTS

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		Number - Kind Code ² (If known)			
SG		US-2004/0054297 A1	03/18/2004	Wingeier et al.	
		US-6,810,285 B2	10/26/2004	Pless et al.	
		US-6,690,974 B2	02/10/2004	Archer et al.	
		US-2003/0195602 A1	10/16/2003	Boling	
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SG		WO 02/06339 A2	01/24/2002	Spaderna et al.		<input type="checkbox"/>
		WO 01/88088 A2	11/22/2001	Tang et al.		<input type="checkbox"/>
		WO 01/64835 A2	09/07/2001	Tang et al.		<input type="checkbox"/>
		WO 01/57190 A2	08/09/2001	Tang et al.		<input type="checkbox"/>
		WO 01/53455 A2	07/26/2001	Tang et al.		<input type="checkbox"/>
		WO 01/52868 A1	07/26/2001	Yu		<input type="checkbox"/>
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Art Unit 3762

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SG		US-6,480,743 B1	11/12/2002	Kirkpatrick et al.	
		US-6,473,639 B1	10/29/2002	Fischell et al.	
		US-6,466,822 B1	10/15/2002	Pless	
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		US-6,016,449	01/18/2000	Fischell et al.	
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		WO 02/059315 A2	08/01/2002	Shimkets et al.		<input type="checkbox"/>
		WO 01/38581 A2	05/31/2001	Tononi et al.		<input type="checkbox"/>
		WO 98/30709	07/16/1998	Jolly et al.		<input type="checkbox"/>
		WO 95/14028	05/26/1995	Hultgren et al.		<input type="checkbox"/>
		WO 03/026401 A2	04/03/2003	Guenther et al.		<input type="checkbox"/>
Examiner Signature	S. G.			Date Considered	3/22/06	

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³Enter Office that issued the document, by the two-letter code (WIPO Standard St.3).

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Complete if Known

Application Number	10/783,113
Filing Date	February 20, 2004
First Named Inventor	Alon Shalev
Art Unit	3762
Examiner Name	Scott Getzow
Attorney Docket No.	SC&C-101US

SHEET 6 of 8

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
SG		US-6,609,956 B2	08/26/2003	Margaria	
		US-6,587,719 B1	07/01/2003	Barrett et al.	
		US-6,473,644 B1	10/29/2002	Terry, Jr. et al.	
		US-5,928,272	07/27/1999	Adkins et al.	
		US-5,707,400	01/13/1998	Terry, Jr. et al.	
		US-5,571,150	11/05/1996	Wernicke et al.	
		US-5,540,730	07/30/1996	Terry, Jr. et al.	
		US-5,531,778	07/02/1996	Maschino et al.	
		US-5,351,394	10/04/1994	Weinberg	
		US-5,335,657	08/09/1994	Terry, Jr. et al.	
		US-5,330,515	07/19/1994	Rutecki et al.	
		US-5,304,206	04/19/1994	Baker, Jr. et al.	
		US-5,299,569	04/05/1994	Wernicke et al.	
		US-5,269,303	12/14/1993	Wernicke et al.	
		US-5,263,480	11/23/1993	Wernicke et al.	
		US-5,251,634	10/12/1993	Weinberg	
		US-5,237,991	08/24/1993	Baker, Jr. et al.	
		US-5,235,980	08/17/1993	Varrichio et al.	
		US-5,231,988	08/03/1993	Wernicke et al.	
		US-5,222,494	06/29/1993	Baker, Jr.	

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Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)				
SG		WO 03/026395 A2 ✓	04/03/2003	Wisotzkey et al.		<input type="checkbox"/>
		WO 03/011304 A1 ✓	02/13/2003	Albiston et al.		<input type="checkbox"/>
		WO 03/001883 A2 ✓	01/09/2003	Wisotzkey		<input type="checkbox"/>
		WO 03/000046 A1 ✓	01/03/2003	Allen		<input type="checkbox"/>
		WO 02/081658 A2 ✓	10/17/2002	Allen		<input type="checkbox"/>
		WO 02/079444 A2	10/10/2002	Allen		<input type="checkbox"/>

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Date
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3/22/06

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³Enter Office that issued the document, by the two-letter code (WIPO Standard SL3).

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

Application Number 10/783,113

Filing Date February 20, 2004

First Named Inventor Alon Shalev

Art Unit 3762

Examiner Name Scott Getzow

Attorney Docket No. SC&C-101US

SHEET 7 of 8

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
SG ↓		US-5,215,089	06/01/1993	Baker, Jr.	
		US-5,215,086	06/01/1993	Terry, Jr. et al.	
		US-5,205,285	04/27/1993	Baker, Jr.	
		US-5,188,104	02/23/1993	Wernicke et al.	
		US-5,186,170	02/16/1993	Varrichio et al.	
		US-5,179,950	01/19/1993	Stanislaw	
		US-5,154,172	10/13/1992	Terry, Jr. et al.	
		US-4,979,511	12/25/1990	Terry, Jr.	
		US-			
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FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)				
SG ↓		WO 02/079440 A2	10/10/2002	Brennan et al.		<input type="checkbox"/>
		WO 02/079439 A2	10/10/2002	Allen		<input type="checkbox"/>
		WO 02/079438 A2	10/10/2002	Brennan et al.		<input type="checkbox"/>
		WO 02/079424 A2	10/10/2002	Leviton		<input type="checkbox"/>
		WO 02/48345 A2	06/20/2002	Allen		<input type="checkbox"/>
		WO 02/47477 A2	06/20/2002	Leviton		<input type="checkbox"/>
		WO 02/46390 A2	06/13/2002	Allen		<input type="checkbox"/>
		WO 02/45498 A2	06/13/2002	Allen et al.		<input type="checkbox"/>
		WO 02/42735 A2	05/30/2002	Balser et al.		<input type="checkbox"/>
		WO 02/06445 A2	01/24/2002	Brennan et al.		<input type="checkbox"/>
		WO 01/98508 A2	12/27/2001	Brennan et al.		<input type="checkbox"/>
		WO 01/67855 A2	09/20/2001	Allen et al.		<input type="checkbox"/>

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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Complete if Known

Application Number	10/783,113
Filing Date	February 20, 2004
First Named Inventor	Alon Shalev
Art Unit	3762
Examiner Name	Scott Getzow
Attorney Docket No.	SC&C-101US

SHEET 8 of 8

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
SG		WO 2005/015404 A2	02/17/2005	Halevy		<input type="checkbox"/>
		WO 2004/098515 A2	11/18/2004	Raitano et al.		<input type="checkbox"/>
		EP 0 814 089 A2	12/29/1997	Paradiso et al.		<input type="checkbox"/>
		WO 93/03762	03/04/1993	Geczy et al.		<input type="checkbox"/>
		WO 89/02935	04/06/1989	Paradiso et al.		<input type="checkbox"/>
		WO 03/011392 A2 - Check	02/13/2003	Barrett et al.		<input type="checkbox"/>
		WO 03/011393 A1	02/13/2003	Barrett et al.		<input type="checkbox"/>
		WO 03/063959 A1 ✓	08/07/2003	Zabara et al.		<input type="checkbox"/>
		WO 03/018108 A2 ✓	03/06/2003	Terry et al.		<input type="checkbox"/>
		WO 03/018107 A2 ✓	03/06/2003	Maschino et al.		<input type="checkbox"/>
		WO 02/062291 A2	08/15/2002	Barrett et al.		<input type="checkbox"/>
		WO 02/04068 A1	01/17/2002	Barrett et al.		<input type="checkbox"/>
		WO 01/26729 A1	04/19/2001	Terry et al.		<input type="checkbox"/>
		CA 2 433 376 A1 (English Abstract Only)	08/15/2002	Barrett et al.		<input type="checkbox"/>
		CA 2 401 098 A1 (English Abstract Only)	01/17/2002	Barrett et al.		<input type="checkbox"/>
		EP 0 613 389 B1	09/19/2001	Baker et al.		<input type="checkbox"/>
		WO 99/56822	11/11/1999	Adkins et al.		<input type="checkbox"/>
		EP 0 588 957 B1	09/20/2000	Baker		<input type="checkbox"/>
		EP 0 726 791 B1	06/21/2000	Baker		<input type="checkbox"/>
		WO 94/00189	01/06/1994	Terry et al.		<input type="checkbox"/>
		WO 94/00188	01/06/1994	Wernicke et al.		<input type="checkbox"/>
		WO 94/00185	01/06/1994	Wernicke et al.		<input type="checkbox"/>
		EP 0 610 301 B1	02/25/1998	Baker		<input type="checkbox"/>
		WO 93/25271	12/23/1993	Rutecki et al.		<input type="checkbox"/>
		WO 93/09841	05/27/1993	Baker et al.		<input type="checkbox"/>
Examiner Signature	S. G.	Date Considered		3/22/06		

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PTO/SB/08a (08-03) (AW 10/2003)

Approved for use through 7/31/2006. OMB 0651-0031

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known

Application Number	10/783,113
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Filing Date	February 20, 2004
--------------------	--------------------------

First Named Inventor	Alon Shalev
----------------------	-------------

Art Unit	1635
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Examiner Name

Attorney Docket No. SC&C-101US

SHEET 1 of 1

U.S. PATENT DOCUMENTS

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FOREIGN PATENT DOCUMENTS

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Signature**

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3/22/06

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(AW 08/03)

Substitute for Form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

Application Number	10/783,113
Filing Date	February 20, 2004
First Named Inventor	Alon Shalev
Art Unit	
Examiner Name	
Attorney Docket No.	SC&C-101US

SHEET 1 of 1

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Issue/ Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (If known)			
SG		US-5,725,471	03/10/1998	Davey et al.	
		US-5,752,515	05/19/1998	Jolesz et al.	
		US-5,756,071	05/26/1998	Mattern et al.	
		US-6,086,525	07/11/2000	Davey et al.	
		US-2001-0004644 A1	06/21/2001	Levin	
		US-6,405,079 B1	06/11/2002	Ansarinia	
		US-6,432,986 B2	08/13/2002	Levin	
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		US-6,491,940 B1	12/10/2002	Levin	
		US-2003-0050527 A1	03/13/2003	Fox et al.	
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		US-2003-0133877 A1	07/17/2003	Levin	

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		Country Code ³ - Number ⁴ - Kind Code ⁵ (If known)				
SG		WO 99/03473	01/28/1999	Bruce H. Levin		<input type="checkbox"/>
		WO 00/44432	08/03/2000	Bruce H. Levin		<input type="checkbox"/>
		WO 01/43733 A2 / A3	06/21/2001	Bruce H. Levin		<input type="checkbox"/>
		WO 01/85094 A2 / A3	11/15/2001	Alon Shalev et al.		<input type="checkbox"/>
		WO 01/97905 A1	12/27/2001	Mehdi Ansarinia		<input type="checkbox"/>
		WO 02/32504 A2 / A3	04/25/2002	Abraham Zanger et al.		<input type="checkbox"/>
		WO 02/068029 A2 / A3	09/06/2002	Gisele Djupesland		
		WO 02/068031 A2 / A3	09/06/2002	Gisele Djupesland		
		WO 03/000310 A2	01/03/2003	Gisele Djupesland		
		WO 03/020350 A1	03/13/2003	Gisele Djupesland		
		WO 03/084591 A1	10/16/2003	Gisele Djupesland		

Examiner
Signature

S. G.

Date
Considered

3/22/06

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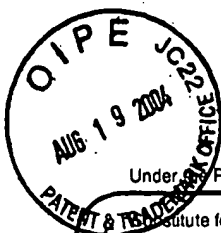
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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known

Application Number	10/783,113
Filing Date	February 20, 2004
First Named Inventor	Alon Shalev
Art Unit	1635
Examiner Name	
Attorney Docket No.	SC&C-101US

SHEET 1 of 1

NON-PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
56		DELÉPINE, L. et al., "Plasma Protein Extravasation Induced in the Rat Dura Mater by Stimulation of the Parasympathetic Sphenopalatine Ganglion," Experimental Neurology 147, 389-400, 1997, Article No. EN976614.	<input type="checkbox"/>
		HARA, H. et al., "Parasympathetic Cerebrovascular Innervation: An Anterograde Tracing from the Sphenopalatine Ganglion in the Rat," Neurosurgery 32(5), 822-827, May, 1993.	<input type="checkbox"/>
		KROLL, R. A. et al., "Outwitting the Blood Brain Barrier for Therapeutic Purposes: Osmotic Opening and Other Means," Neurosurgery, 42(5), 1083-1099, May 1998.	
		SANDERS, M. et al., "Efficacy of sphenopalatine ganglion blockade in 66 patients suffering from cluster headache: a 12- to 70- month follow-up evaluation," Journal of Neurosurgery, 87, 876-880, December, 1997.	<input type="checkbox"/>
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		MAJOR, A. et al., "Odorants Presented to the Rat Nasal Cavity Increase Cortical Blood Flow," Chem. Senses, 24:665-669, 1999.	<input type="checkbox"/>
		FUSCO, B.M. et al., " 'Capsaicin-Sensitive' Sensory Neurons in Cluster Headache: Pathophysiological Aspects and Therapeutic Indication," Headache, 34:132-137, March 1994.	<input type="checkbox"/>
		SILVER, W.L., "Neural and Pharmacological Basis for Nasal Irritation," in Tucker WG, Leaderer BP, Molhave L, Cain WS (eds), Sources of Indoor Air Contaminants, Annals New York Academy of Sciences, 641, 152-163, 1992.	<input type="checkbox"/>
		TODA, N. et al., "CEREBRAL VASODILATATION INDUCED BY STIMULATION OF THE PTERYGOPALATINE GANGLION AND GREATER PETROSAL NERVE IN ANESTHETIZED MONKEYS," Neuroscience 96(2): 393-398, 2000.	<input type="checkbox"/>
V		ROTH, B.J. et al., "In vitro evaluation of a 4-leaf coil design for magnetic stimulation of peripheral nerve," Electroencephalography and Clinical Neurophysiology, 93, 68-74, 1994.	<input type="checkbox"/>
			<input type="checkbox"/>
			<input type="checkbox"/>
Examiner Signature	S. G.	Date Considered	3/2/06

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

¹Applicant's unique citation designation number (optional).

²Applicant is to place a check mark here if English language translation is attached.

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